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10/516,641	05/26/2005	Seppo Hamalainen	915-001.043	6961	
4955	7590 09/25/2006		EXAMINER		
WARE FRI ADOLPHSO	ESSOLA VAN DER SLU ON T.L.P	FOX, BRYAN J			
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Please find below and/or attached an Office communication concerning this application or proceeding.

		Application	on No.	Applicant(s)				
Office Action Summary		10/516,64	1	HAMALAINEN ET AL.				
		Examiner		Art Unit				
		Bryan J. F	ox	2617				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply								
WHICH - Extense after S - If NO p - Failure Any re	DRTENED STATUTORY PERIOD F HEVER IS LONGER, FROM THE N sions of time may be available under the provisions (SIX (6) MONTHS from the mailing date of this come period for reply is specified above, the maximum se to reply within the set or extended period for reply pely received by the Office later than three months of patent term adjustment. See 37 CFR 1.704(b).	MAILING DATE OF TH s of 37 CFR 1.136(a). In no even nunication. latutory period will apply and wi y will, by statute, cause the app	IIS COMMUNICATION ont, however, may a reply be tin II expire SIX (6) MONTHS from ication to become ABANDONE	N. nely filed the mailing date of this c D (35 U.S.C. § 133).				
Status								
2a) ☐ 3) ☐	Responsive to communication(s) file This action is <b>FINAL</b> . Since this application is in condition closed in accordance with the pract	2b)⊠ This action is n for allowance except	for formal matters, pro		e merits is			
Disposition of Claims								
5)□ 6)⊠ 7)□	Claim(s) <u>1-27</u> is/are pending in the 4a) Of the above claim(s) is/a Claim(s) is/are allowed. Claim(s) <u>1-27</u> is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restri	are withdrawn from co						
Application	on Papers							
10) 🔲 -	The specification is objected to by the drawing(s) filed on is/are Applicant may not request that any objected the Replacement drawing sheet(s) including the oath or declaration is objected the specifical file.	e: a) accepted or b) ection to the drawing(s) I g the correction is requir	ne held in abeyance. Se ed if the drawing(s) is ob	e 37 CFR 1.85(a). ojected to. See 37 C				
Priority u	nder 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.								
2) Notice 3) Inform	t(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review ( nation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date		4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal 6 6) Other:	oate				

## **DETAILED ACTION**

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## Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on July 10, 2006 has been entered.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1, 2, 5, 6, 8-13, 24, 25 and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hamalainen in view of Park et al (US006385437B1).

Regarding **claim 1**, Hamalainen discloses a system where a criteria for a mobile station triggers the employment of a slotted mode and measurements on neighboring base stations includes the base station seems to be not responding to power control commands asking for more downlink power and the serving base station repeatedly asks for more uplink power but the mobile already uses the maximal allowed uplink power (see page 7, line 12 - page 8, line 12), or interference is high, or higher than an estimated value (see page 10, line 26 – page 11, line 8), which reads on the claimed, "method for controlling interfrequency handovers of a mobile station, the mobile station comprising a continuous communication mode and a combined slotted communication mode and measurement mode, the method comprising the steps of: changing the operation of the mobile station in to the combined slotted communication mode and measurement mode for preparing an interfrequency handover, if at least a criterion specifying that a quality of a downlink signal relating to a channel on which communication takes place between the mobile station and a mobile communication system in the continuous communication mode is worse than a quality represented by a first target value, is fulfilled, characterized in that the first target value depends on a second target value, the second target value being related to a... power control of a transmission power of the downlink signal." Hamalainen fails to disclose the use of outer-loop power control.

In a similar field of endeavor, Park discloses the use of outer-loop power control.

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify Hamalainen with Park to include the above use of outer-loop power control in order to maximize capacity.

Regarding **claim 2**, the combination of Hamalainen and Park discloses a criteria for a mobile station triggers the employment of a slotted mode and measurements on neighboring base stations includes the base station seems to be not responding to power control commands asking for more downlink power and the serving base station repeatedly asks for more uplink power but the mobile already uses the maximal allowed uplink power (see Hamalainen page 7, line 12 – page 8, line 12), which reads on the claimed, "updating the first target value at first time instants of those time instants at which the second target value is updated by the power control manner of the transmission power," wherein checking to see if the base station is not responding to power control commands reads on updating by the power control manner of the transmission power.

Regarding **claim 5**, the combination of Hamalainen and Park discloses that one of the criteria could be the non-orthogonal narrowband neighboring channel interference obtained from measurements on own channel is high (see Hamalainen page 10, lines 26-32), which reads on the claimed, "the first target value is equal to the second target value."

Regarding **claim 6**, the combination of Hamalainen and Park discloses one criteria could be the total non-orthogonal narrowband interference is remarkably higher than an estimated co-channel non-orthogonal interference (see Hamalainen page 11,

lines 1-8), which reads on the claimed, "the first target value corresponds to a worse quality than the second target value," wherein the first quality is the non-orthogonal narrowband interference and the second value is the estimated co-channel non-orthogonal interference."

Regarding **claim 8**, the combination of Hamalainen and Park discloses that the signal to interference ratio is measured over a period long enough to account for transmission errors but not too long to introduce a delay (see Hamalainen page 7, lines 24-35), which reads on the claimed, "the criterion is to be fulfilled for a certain predetermined time period."

Regarding **claim 9**, the combination of Hamalainen and Park discloses that the mobile station can estimate the amount of non-orthogonal narrowband interference coming from transmissions on neighboring channels (see Hamalainen page 10, lines 15-24), which reads on the claimed, "estimating adjacent channel interference on the channel on which communication takes place in the continuous communication mode."

Regarding **claim 10**, the combination of Hamalainen and Park discloses that the mobile station can estimate the amount of non-orthogonal narrowband interference coming from transmissions on neighboring channels (see Hamalainen page 10, lines 15-24), which reads on the claimed, "said adjacent channel interference is estimated, if the determined value for the quality represented by a first target value is below a predetermined value."

Regarding **claim 11**, the combination of Hamalainen and Park discloses that slotted mode measurements on neighboring frequencies (see Hamalainen page 8, lines

3-8), which reads on the claimed, "measuring interference on an adjacent channel in the combined slotted communication and measurement mode."

Regarding **claim 12**, the combination of Hamalainen and Park discloses various criteria, or measurements, are needed to trigger a handover (see, e.g. Hamalainen page7, lines 8-35), which reads on the claimed, "performing an interfrequency handover to a second channel, and after entering a continuous mode in the second channel, inhibiting a further interfrequency handover for a certain second predetermined time period," wherein the second period of time is the minimum amount of time required to make the necessary measurements.

Regarding claim 13, the combination of Hamalainen and Park discloses making preparatory measurements for the interfrequency handover in slotted mode (see Hamalainen figure 6), which reads on the claimed, "performing preparatory measurements for an interfrequency handover in the combined slotted communication mode."

Regarding **claim 24**, Hamalainen discloses a system where a criteria for a mobile station triggers the employment of a slotted mode and measurements on neighboring base stations includes the base station seems to be not responding to power control commands asking for more downlink power and the serving base station repeatedly asks for more uplink power but the mobile already uses the maximal allowed uplink power (see page 7, line 12 – page 8, line 12), or if interference is high, or higher than an estimated value (see page 10, line 26 – page 11, line 8), which reads on the claimed, "method for controlling an interfrequency handover of a mobile station, the

mobile station comprising a continuous communication mode, the method comprising the steps of: determining a value for a quality factor for a received downlink signal, characterized in that, said interfrequency handover comprises a blind interfrequency handover, and the method further comprises the steps of: comparing the determined quality factor value to a first target value for performing the blind interfrequency handover, comparing the determined quality factor value to a second target value, and generating power control commands based on the comparison, the first target value being arranged to depend on a second target value and the second value being arranged to relate to a... power control of a transmission power of the downlink signal." Hamalainen fails to disclose the use of outer-loop power control.

In a similar field of endeavor, Park discloses the use of outer-loop power control.

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify Hamalainen with Park to include the above use of outer-loop power control in order to maximize capacity.

Regarding **claim 25**, Hamalainen discloses a system where a criteria for a mobile station triggers the employment of a slotted mode and measurements on neighboring base stations includes the base station seems to be not responding to power control commands asking for more downlink power and the serving base station repeatedly asks for more uplink power but the mobile already uses the maximal allowed uplink power (see page 7, line 12 – page 8, line 12), or if interference is high, or higher than an estimated value (see page 10, line 26 – page 11, line 8), which reads on the claimed, "mobile station arranged to contain a continuous communication mode and a

combined slotted communication and measurement mode, and the mobile station comprising means for determining a value for a quality factor for a received downlink signal, means for controlling the communication mode of the mobile station, characterized in that the mobile station further comprises means for controlling interfrequency handovers, said means for controlling interfrequency handover being arranged to compare the determined quality factor value to a first target value for performing the interfrequency handover." The ability to ask for more power (see page 7, lines 8-35) reads on the claimed, "downlink power control means arranged to compare the determined quality factor value to a second target value and to generate power control commands based on the comparison, the first target value being arranged to depend on the second target value and the second value being arranged to relate to a... power control of a transmission power of the downlink signal." Hamalainen fails to disclose the use of outer-loop power control.

In a similar field of endeavor, Park discloses the use of outer-loop power control.

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify Hamalainen with Park to include the above use of outer-loop power control in order to maximize capacity.

Regarding claim 27, Hamalainen discloses a system where a criteria for a mobile station triggers the employment of a slotted mode and measurements on neighboring base stations includes the base station seems to be not responding to power control commands asking for more downlink power and the serving base station repeatedly asks for more uplink power but the mobile already uses the maximal allowed

uplink power (see page 7, line 12 – page 8, line 12), or if interference is high, or higher than an estimated value (see page 10, line 26 – page 11, line 8), which reads on the claimed, "mobile station arranged to contain a continuous communication mode, the mobile station comprising means for determining a value for a quality factor for a received downlink signal, characterized in that the mobile station further comprises means for controlling blind interfrequency handovers, said means for controlling blind interfrequency handover being arranged to compare the determined quality factor value to a first target value for performing the blind interfrequency handover." The ability to ask for more power (see page 7, lines 8-35) reads on the claimed, "downlink power control means arranged to compare the determined quality factor value to a second target value and to generate power control commands based on the comparison, the first target value being arranged to depend on the second target value and the second value being arranged to relate to a...power control of a transmission power of the downlink signal." Hamalainen fails to disclose the use of outer-loop power control.

In a similar field of endeavor, Park discloses the use of outer-loop power control.

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify Hamalainen with Park to include the above use of outer-loop power control in order to maximize capacity.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

<sup>(</sup>a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the

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invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 3, 4 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hamalainen in view of Park, as applied to claim 1 above, and further in view of Tiedmann, Jr. et al (US 20020126739A1).

Regarding **claim 3**, the combination of Hamalainen and Park fails to disclose that the first target value is updated for every radio frame.

In a similar field of endeavor, Tiedmann, Jr. et al disclose that the target energy level in the power control loop is updated every frame (see paragraph 45), which reads on the claimed, "the first target value is updated for every radio frame."

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Tiedmann, Jr. et al to include the above updating the updating the target value every frame in order to keep the link at the optimum power level while minimizing interference in the system.

Regarding **claim 4**, the combination of Hamalainen and Park fails to disclose that the first target value is updated for every interleaving period.

In a similar field of endeavor, Tiedmann, Jr. et al disclose that the target energy level in the power control loop is updated every frame (see paragraph 45), which reads on the claimed, "the first target value is updated for every interleaving period," wherein the interleaving period is longer than a frame.

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Tiedmann, Jr. et al to include the above updating the updating the target value every frame in order to keep the link at the optimum power level while minimizing interference in the system.

Regarding **claim 7**, the combination of Hamalainen and Park fails to disclose that the value for the quality represented by a first target value is determined for every time slot.

In a similar field of endeavor, Tiedmann, Jr. et al disclose that the target energy level in the power control loop is updated every frame (see paragraph 45), which reads on the claimed, "the value for the quality represented by a first target value is determined for every time slot."

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Tiedmann, Jr. et al to include the above updating the updating the target value every frame in order to keep the link at the optimum power level while minimizing interference in the system.

Claims 14-22 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hamalainen in view of Park, and further in view of Wakizaka (US006081714A).

Regarding **claim 14**, the combination of Hamalainen and Park fails to expressly disclose synchronizing the mobile station with at least one base station before selection of a target frequency and/or the target base stations for the interfrequency handover.

In a similar field of endeavor, Wakizaka discloses when the field of strength of the pilot signal from this base station drops below the threshold level, the mobile station transmits a handoff request message to the base station, then synchronizes to the common frequency and sends a second handoff request message to a number of base stations (see column 3, lines 9-54), which reads on the claimed, "synchronizing the mobile station with at least one base station before selection of a target frequency and/or the target base station(s) for the interfrequency handover."

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Wakizaka to include the above synchronization in order to minimize errors and the need for retransmission.

Regarding **claim 15** the combination of Hamalainen and Park fails to expressly disclose sending a request for the interfrequency handover to the cellular radio from the mobile station, and wherein the step of synchronization is performed after sending the request.

In a similar field of endeavor, Wakizaka discloses when the field of strength of the pilot signal from this base station drops below the threshold level, the mobile station transmits a handoff request message to the base station, then synchronizes to the common frequency and sends a second handoff request message to a number of base

stations (see column 3, lines 9-54), which reads on the claimed, "sending a request for the interfrequency handover to the cellular radio from the mobile station, and wherein the step of synchronization is performed after sending the request."

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Wakizaka to include the above synchronization in order to minimize errors and the need for retransmission.

Regarding **claim 16**, the combination of Hamalainen and Park fails to disclose triggering, based on said preparatory measurements, the synchronization of the mobile station with the at least one base station.

In a similar field of endeavor, Wakizaka discloses the synchronization on a common channel if the field strength of the pilot signal from the original base station drops below the threshold level (see column 3, lines 9-54), which reads on the claimed, "triggering, based on said preparatory measurements, the synchronization of the mobile station with the at least one base station."

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Wakizaka to include the above synchronization in order to minimize errors and the need for retransmission.

Regarding **claim 17**, the combination of Hamalainen and Park fails to disclose that the mobile station is synchronized in at least one available target frequency with each base station relating to which said preparatory measurements are made.

In a similar field of endeavor, Wakizaka discloses the synchronization on a common channel if the field strength of the pilot signal from the original base station drops below the threshold level (see column 3, lines 9-54), which reads on the claimed, "the mobile station is synchronized in at least one available target frequency with each base station relating to which said preparatory measurements are made."

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Wakizaka to include the above synchronization in order to minimize errors and the need for retransmission.

Regarding **claim 18**, the combination of Hamalainen and Park fails to disclose the mobile station is synchronized in at least one available target frequency with at least two base stations.

In a similar field of endeavor, Wakizaka discloses the mobile station synchronizes itself to a common frequency and sends a second handoff request that all base stations receive (see column 3, lines 9-54), which reads on the claimed, "the mobile station is synchronized in at least one available target frequency with at least two base stations."

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Wakizaka to include the above synchronization in order to minimize errors and the need for retransmission.

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Regarding **claim 19**, the combination of Hamalainen and Park fails to disclose the at least two base stations belong to the active set of the mobile station.

In a similar field of endeavor, Wakizaka discloses the mobile station synchronizes itself to a common frequency and sends a second handoff request that all base stations receive (see column 3, lines 9-54), which reads on the claimed, "the at least two base stations belong to the active set of the mobile station," wherein since all stations are included, the active set must be included.

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Wakizaka to include the above synchronization in order to minimize errors and the need for retransmission.

Regarding **claim 20**, the combination of Hamalainen and Park fails to disclose that the synchronization is performed with all base stations belonging to the active set of the mobile station.

In a similar field of endeavor, Wakizaka discloses the mobile station synchronizes itself to a common frequency and sends a second handoff request that all base stations receive (see column 3, lines 9-54), which reads on the claimed, "the synchronization is performed with all base stations belonging to the active set of the mobile station."

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Wakizaka to

include the above synchronization in order to minimize errors and the need for retransmission.

Regarding **claim 21**, the combination of Hamalainen and Park fails to disclose performing the interfrequency handover to all base stations belonging to the active set of the mobile station.

In a similar field of endeavor, Wakizaka discloses the mobile station synchronizes itself to a common frequency and sends a second handoff request that all base stations receive (see column 3, lines 9-54), which reads on the claimed, "performing the interfrequency handover to all base stations belonging to the active set of the mobile station."

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Wakizaka to include the above synchronization in order to minimize errors and the need for retransmission.

Regarding **claim 22**, the combination of Hamalainen and Park fails to disclose performing the interfrequency handover to said at least two base stations.

In a similar field of endeavor, Wakizaka discloses the mobile station synchronizes itself to a common frequency and sends a second handoff request that all base stations receive (see column 3, lines 9-54), which reads on the claimed, "performing the interfrequency handover to

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Wakizaka to

include the above synchronization in order to minimize errors and the need for retransmission.

Regarding **claim 26**, the combination of Hamalainen and Park fails to expressly disclose synchronizing the mobile station with at least one base station before selection of a target frequency and/or the target base stations for the interfrequency handover.

In a similar field of endeavor, Wakizaka discloses when the field of strength of the pilot signal from this base station drops below the threshold level, the mobile station transmits a handoff request message to the base station, then synchronizes to the common frequency and sends a second handoff request message to a number of base stations (see column 3, lines 9-54), which reads on the claimed, "means for synchronizing the mobile station with a base station, said means arranged to perform the synchronization during the combined slotted communication and measurement mode before selection of a target frequency and/or a target base station for an interfrequency handover."

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Wakizaka to include the above synchronization in order to minimize errors and the need for retransmission.

Claim 23 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hamalainen in view of Park, and further in view of Subrahamanya (US006807429B2).

Regarding **claim 23**, the combination of Hamalainen and Park fails to disclose the loop based power control manner is adapted to control the quality of the connection by setting the target value for an inner loop of a closed loop power control.

In a similar field of endeavor, Subrahamanya discloses a target signal-to-total-noise-plus-interference ratio in an inner loop (see column 4, lines 4-54), which reads on the claimed, "the loop based power control manner is adapted to control the quality of the connection by setting the target value for an inner loop of a closed loop power control."

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Hamalainen and Park with Subrahamanya to include the above target signal-to-total-noise-plus-interference ratio in order to minimize interference and increase system capacity on the reverse link as suggested by Subrahamanya (see column 4, lines 4-15).

### Response to Arguments

Applicant's arguments with respect to claims 1-27 have been considered but are most in view of the new ground(s) of rejection.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Bryan J. Fox whose telephone number is (571) 272-7908. The examiner can normally be reached on Monday through Friday 9-5.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Feild can be reached on (571) 272-4090. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Bryan Fox September 18, 2006

SUPERVISORY PATENT EXAMINER